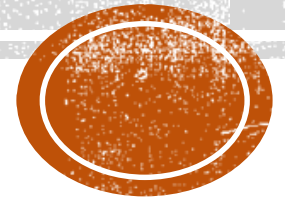


# **Kenai Mountains- Turnagain Arm National Heritage Area**



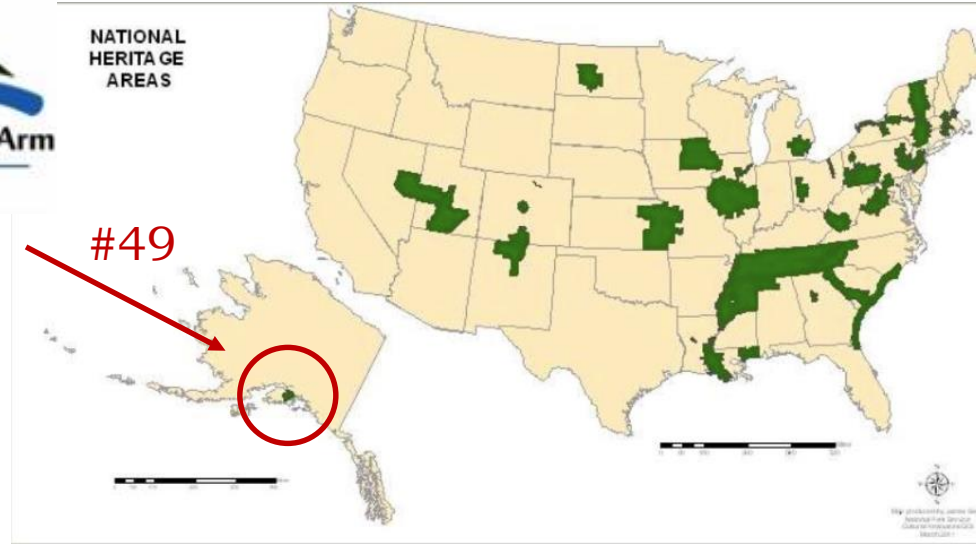
## **KMTA Grant Application Webinar**

# What is a National Heritage Area?

***“National Heritage Areas are places whose stories tie our Nation together.”***  
***-- Dr. Herman Blake***

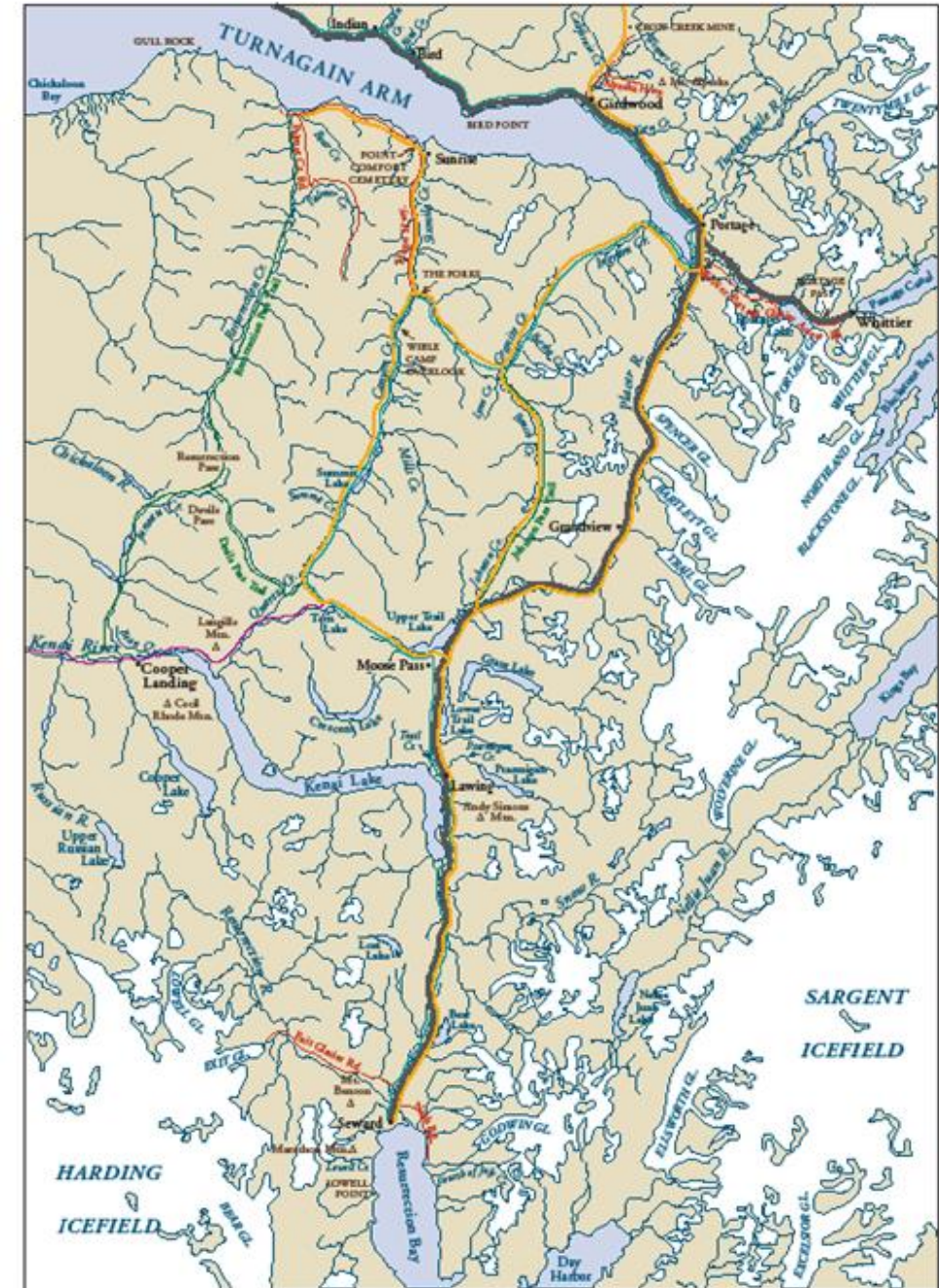
- Places where outstanding natural, cultural, historic and scenic resources combine to form a cohesive, nationally important landscape
- Established by Congress
- Funded through National Park Service
- Remain locally planned and driven
- Designation has no effect on property rights





**Established in 2009, the KMTA NHA includes parts of:**

- Chugach State Park
- Chugach National Forest
- Kenai Fjords National Park
- Communities of Bird, Indian, Girdwood, Portage, Whittier, Hope, Moose Pass, Seward, Cooper Landing
- Historically, semi-permanent village sites of the Athabascan, specifically Dena'ina



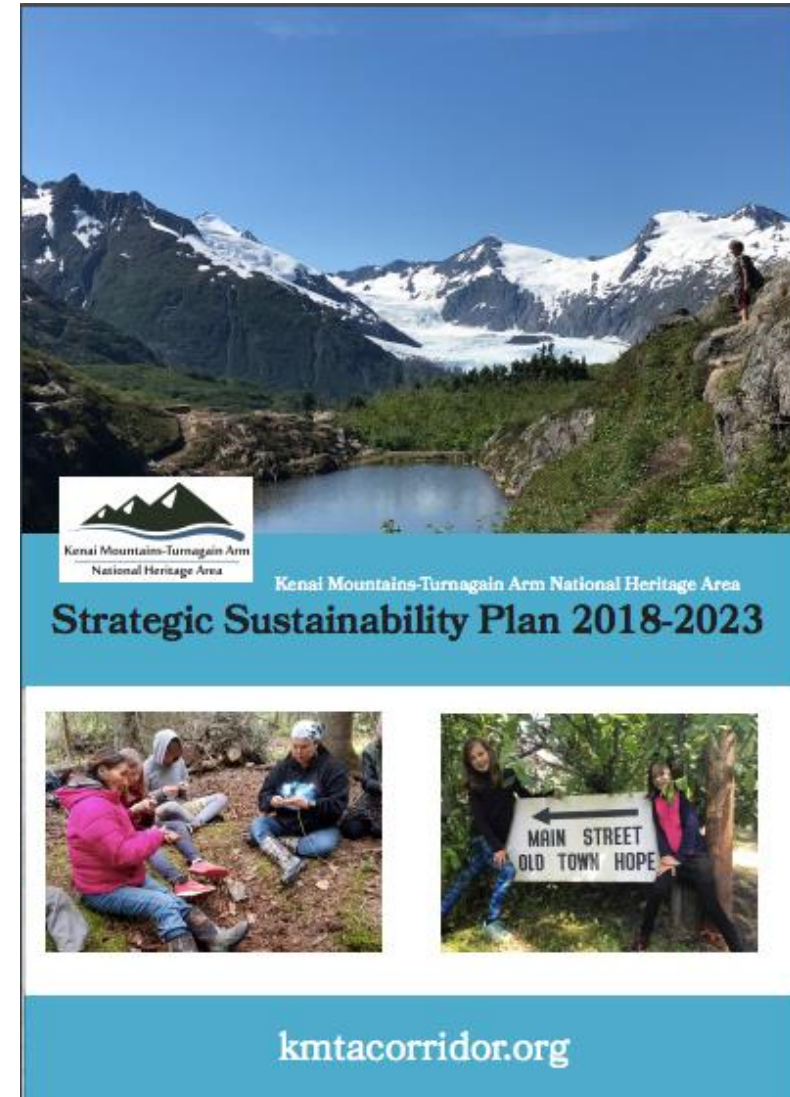


# Kenai Mountains-Turnagain Arm Corridor Communities Association

- 501 (3) non-profit organization to preserve, protect, and promote the historical, cultural, natural, and recreational assets of the NHA

## GRANT PROGRAM

- *KMTA seeks to **equitably fund diverse activities, geographically distributed** throughout the communities and transportation corridor of the Heritage Area.*



# The Basics



- The award range for competitive grants is \$300 to \$10,000 for first-time applicants.
- Grant recipients with an established history may be awarded up to \$24,500.
- Must be undertaken and completed within a 12-month period.

## **Viable Projects Must:**

- Show a solid relationship to preservation, interpretation, and promotion of the region's resources with an emphasis on establishing partnerships.
- Demonstrate a clear benefit to the public.
- Have a significant impact on the Heritage Area.



# KMTA Grants are Federal Funds...



Subject to federal laws and regulations

- **Uniform Administrative Requirements, Cost Principles and Audit Requirement for Federal Awards (2CFR200)**
- **Section 106 Historic Preservation Act, National Environmental Protection Act, Buy American Act, and others**
- **Require a 1:1 match of non-federal funds**





# Today's Objectives

## KMTA Grant Guidelines

- Project Requirements & Scoring Criteria
- Grant Recipient Responsibilities

## How to Apply

- Application Narrative
- Budget Worksheet
- Letters of Support
- Submit via email



# Grants will be scored based on the following Essential Criteria:

- Project must be within the National Heritage
  - ☐ Historic Preservation
  - ☐ Education
  - ☐ Signage
  - ☐ Trails and Recreation
  - ☐ Publications
- Project must be consistent with Heritage Area purposes, and increase public awareness and appreciation for the natural, historical and cultural resources of the Heritage Area.







# Essential Criteria continued...

- A community, non-profit, or governmental organization active within the Heritage Area, must sponsor the project.
- Involvement, collaboration, and communication with stakeholders will be part of the development of project proposals.
- If public lands are involved, project must have the approval of the governmental agency.
- Project proposals that alter the community landscape (i.e. installing signs, murals, trails, monuments, etc.) must include letters of support from the land owner and community council.





# Essential Criteria continued...

- Matching non-federal funding of at least 50% of total project costs must be committed (1:1 match)
- Project proposal must include a reasonable, feasible budget and schedule.
- Must include a plan for maintaining the improvements for the benefit of the public, describe the longevity of the project, and explain the short-term and long-term impacts of grant money invested today (i.e. what's the *return on investment*?)



# Essential Criteria continued...

- Funding for preserving privately owned historic structures will be limited to aspects that are visible and accessible to the public. Private owners must commit to maintain the historic appearance of the structure for a reasonable length of time.
- Proposals must designate an individual who will serve as liaison with KMTA and will be responsible for accomplishing the project.



- If the project is part of a system of traveler information in the Heritage Area, it must be easily identified as components of the system, be safely accessible by the public, and minimize impact on scenic resources.





# Additional Considerations

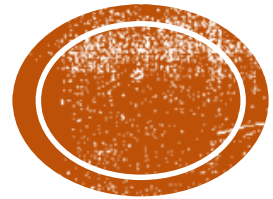
## Desirable, but not required

- Project will encourage the economic viability of the community and the region.
- Project will employ local people.
- Project will create a new partnership benefiting the purposes of the Heritage Area.
- Project will be over-matched by partnership funding that also helps accomplish the Heritage Area purposes.
- Project will involve young people and help them learn about the Heritage Area.
- Project will build on a proven success by expanding and enhancing a previously successful project that meets Heritage Area criteria.



# To Apply, compile:

Application Narrative



Budget Worksheet

Supplemental Items



**Email to:** [katherineschake@kmtacorridor.org](mailto:katherineschake@kmtacorridor.org)

<https://www.kmtacorridor.org/grants/>



Instructions are  
at the bottom of  
this webpage

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## Grants

### KMTA Grant Application Instructions

Applications are due March 4th at 5pm. Awards will be announced in early April.

1. Click here to download and complete the [KMTA Grant Application](#) *(Please download as Word .doc)*
2. Click here to download and complete the [KMTA Grant Project Budget Worksheet](#) *(Please download as an Excel file).*
3. Email Grant Application, Project Budget, and supplemental materials as attachments to: [katherineschake@kmtacorridor.org](mailto:katherineschake@kmtacorridor.org)







## DISCOVER THE STORY

### KMTA GRANT APPLICATION

#### Eligibility for General Competitive Grants:

This is the main granting program for KMTA. The award range for competitive grants is \$300 to \$10,000 for first-time applicants. Grant recipients with an established history may be awarded \$300 to \$24,500. Applicants must complete and submit a copy of the grant application and budget to be considered for a competitive grant award.

#### Viable Projects Must:

- 1) Show a solid relationship to preservation, interpretation, and promotion of the region's resources with an emphasis on establishing partnerships;
- 2) Produce a 1:1 match of funds from a non-federal source;
- 3) Demonstrate a clear benefit to the public;
- 4) Have a significant impact on the Kenai Mountains-Turnagain Arm National Heritage Area.

All Competitive Grant projects must be undertaken and completed within a 12-month period starting with the beginning of the fiscal year (October 1).

Section 106 of the [National Historic Preservation Act \(NHPA\)](#) requires Federal agencies to consider the effects of federally funded projects on historic properties and to afford the State Historic Preservation Office (SHPO) an opportunity to comment on such projects. As this project is receiving funding from a federal source, any undertakings involving a historic resource will require the applicant to complete Section 106 consultation of the National Historic Preservation Act, and the project must meet the Secretary of Interior's Standards for the Treatment of Historic Properties. Consultation efforts related to Section 106 must be factored into your project's planning efforts (i.e. project timeline and resources devoted to consultation). **If you are unfamiliar with Section 106 guidelines, please contact Kenai Mountains-Turnagain Arm National Heritage Area for assistance.**

#### Complete Grant Application must include:

1. Narrative Application Steps 1 – 3 (begins on the next page)
2. Budget Worksheet
3. Email complete grant application and any additional information, attachments or pictures to: [katherineschake@kmtacorridor.org](mailto:katherineschake@kmtacorridor.org)

Questions? Contact KMTA Program Manager, Katherine Schake at 907-205-0235 or email: [katherineschake@kmtacorridor.org](mailto:katherineschake@kmtacorridor.org)



## DISCOVER THE STORY

### APPLICATION STEP 1: SECTION 106 FLOWCHART

If your project has the potential to impact historic properties or sites, you must be incorporated into the project. To assist efficient grant preparation, use the following flowchart as a first step for all applicants. Please address the following questions:

**Does your project involve breaking ground (trail work/ sign installation/ construction), building restoration or repair, building construction, mural installation, or any activities that physically impact buildings or property?**

YES ↓	NO ↓
<b>Submit Cultural Resource Presence/ Absence Information Request to the State Historic Preservation Office (SHPO)</b> Email <a href="mailto:oha.revcomp@alaska.gov">oha.revcomp@alaska.gov</a> : <ol style="list-style-type: none"> <li>1. Project description,</li> <li>2. Mention this is a potential KMTA grant project</li> <li>3. Include location information: a map or <u>lat/long</u> to help SHPO find the location to check for known cultural resources.</li> </ol> You will learn if any properties impacted by your project are currently listed-in or eligible-for the National Register of Historic Places.	<b>Proceed to STEP 2 of Grant Application</b> (Examples include: curriculum development, publications, field trips, classroom activities, outreach/education, and storytelling)
SHPO will respond with <i>Presence</i> or <i>Absence</i> of cultural resources and/or historic properties	
<b>Are there historic properties or cultural resources present?</b>	

YES ↓	NO ↓
<b>Project must comply with Section 106; Proceed with Section 106 Project Review</b> Contact KMTA to discuss scale and scope of Section 106 Compliance for your specific project. KMTA will connect you to the National Park Service Archeologist for further guidance if needed. Hiring a Contractor for Site Survey may be required.	<b>Proceed to STEP 2 of Grant Application</b> Include letter from SHPO stating "absence" of cultural resources with grant application.
<b>Submit Section 106 Project Review to SHPO</b>	

The Narrative Application is a word doc that looks like this





# Application Narrative: 3 Steps

## Step 1

- Section 106 Flow Chart

## Step 2

- Narrative: Answer questions, addressing essential criteria

## Step 3

- Budget Worksheet: Material & Labor Costs; Non-federal 1:1 match



## Why a Section 106 Flow Chart?

- Encourage applicants to think about Section 106 and their project at an early stage.
- Applicant may incorporate additional costs & timeframe into application.
- Applicant may realize they need to split their project into phases.

## What Triggers Section 106 Review?

- Moving dirt (Trailwork; Digging; Building; Installing)
- Historic Property work

## National Park Service

Patrick Lewis

Archaeologist

[patrick\\_lewis@nps.gov](mailto:patrick_lewis@nps.gov)

907-422-0543

## Office of History & Archaeology

Email: [dnr.oha@alaska.gov](mailto:dnr.oha@alaska.gov)

## Chief State Historic Preservation Officer (SHPO)

Judith Bittner (907) 269-8715

# Section 106 Historic Preservation Act





## Section 106 Flowchart



**Does your project involve breaking ground (trail work/ sign installation/ construction), building restoration or repair, building construction, mural installation, or any activities that physically impact buildings or property?**

**YES**



**NO**



**Submit Cultural Resource Presence/ Absence Information Request to the State Historic Preservation Office (SHPO)**

Email [oha.revcomp@alaska.gov](mailto:oha.revcomp@alaska.gov):

Project description,

Mention this is a potential KMTA grant project

Include location information: a map or lat/long to help SHPO find the location to check for known cultural resources.

You will learn if any properties impacted by your project are currently listed-in or eligible-for the National Register of Historic Places.

**Proceed to STEP 2 of Grant Application**

(Examples include: curriculum development, publications, field trips, classroom activities, outreach/education, and storytelling)

SHPO will respond with **Presence** or **Absence** of cultural resources and/or historic properties

**Are there historic properties or cultural resources present?**

**YES**



**NO**



# Section 106 Flowchart



## Project must comply with Section 106; Proceed with Section 106 Project Review

Contact KMTA to discuss scale and scope of Section 106 Compliance for your specific project. KMTA will connect you to the National Park Service Archeologist for further guidance if needed. Hiring a Contractor for Site Survey may be required.

## Proceed to STEP 2 of Grant Application

Include letter from SHPO stating "absence" of cultural resources with grant application.

## Submit Section 106 Project Review to SHPO

<http://dnr.alaska.gov/parks/oha/pdf/106application.pdf>

\*If you discover a project review/cultural survey is required, then you can incorporate these costs into your grant application

Was your project likely to have an Adverse Effect on cultural resources?

YES



NO

Further consultation required to mitigate adverse effects. Continue w/ Sec 106 compliance

Contact KMTA and work with NPS Archeologist to discuss mitigation options and create a Memorandum of Agreement (MOA) with SHPO.  
*When historic properties may be harmed, Section 106 review usually ends with a legally binding agreement that establishes how adverse effects will be avoided, minimized, or mitigated.*

Please submit any SHPO correspondence with your grant application





## DISCOVER THE STORY

1. How does your project increase public awareness and appreciation for the natural, historic, scenic, and cultural resources of the KMTA National Heritage Area?

2. What partners will be included as part of this project and how will they be involved? (Involvement, collaboration, and communication with stakeholders is essential to the project proposal.)

3. In what ways will KMTA be recognized or involved with your project?

4. Are public lands involved? (If so, the project must have the approval of the governmental agency.)

- ☐ Yes  
☐ No

5. Will youth be involved in the project?

- ☐ Yes  
☐ No

If youth will be involved in the project, please explain.



## DISCOVER THE STORY

6. If a private owner of a historic structure/project, are you committed to maintain the historic structure for a reasonable length of time? \*(Heritage Area funding for privately owned historic structures will be limited to preservation of aspects of the structure that are visible and accessible to the public.)

7. Does your project have the potential to impact cultural resources and/ or historic properties? If so, please explain the current status of your project in regards to Section 106 compliance (reference flowchart from Step 1).

### GRANT APPLICATION STEP 3

Please include a summary of your project budget

1. Budget Narrative: *(Please provide a written explanation summarizing the allocation of funding requested and the dollars provided as match)*

2. In-kind Sources: *(Please list your In-Kind Sources, volunteer contributions and donations)*





[illegible]

Materials: Have a Price  
People: Have a Rate and # of Hrs

Questions? Call us when you begin to fill out this form.



## Eligible Expenses



- Paid Labor/ Contractual Services
- Materials/ Supplies/ Equipment
- Building Use/ Space Rental
- Travel

## Eligible Match – requires documentation!

- Volunteer Labor
- Donated Equipment/ Material
- Cash match for material expenses
- Paid labor directly related to project





# Ineligible Expenses

**Indirect costs (i.e. Overhead, Administrative)**

**General operating expenses outside of project scope**

**Fundraising costs / lobbying costs**

**Catering, food and beverage, entertainment costs**





# Supplemental Documents

- Letters of Support from Partners / Community Council / Stakeholders
- Section 106 Review Documentation – letter from SHPO



# Grant Recipient Responsibilities

- **Adhere to all regulations and laws** applicable to federal funds.
- Project must provide appropriate **acknowledgement of KMTA sponsorship** on signs, printed and electronic material, including the appearance of the KMTA logo and statement of sponsorship (logo to be provided by KMTA).
- **Funds are distributed via reimbursement** after all supporting documentation and reports have been received.



DISCOVER THE STORY





# 2019 Timeline

**January 28th** – Biannual Grant Period Opens

**February 7th** – Application Webinar (You are here!)

**March 4th @ 5pm** – Grant Applications Due

**April 5th** – KMTA CCA Board will Award New Grants

**May** – Awards Announced, Contracts Prepared

**Spring, Summer, Winter** – Projects In-Process

**Spring 2020** – Reimbursement forms submitted (Payments are disbursed at the end of the month)



# Examples of Past Grants Awarded

<https://www.kmtacorridor.org/recent-grant-projects/>

- Moose Pass Library Museum exhibit
- City of Seward Waterfront Bike Path Interpretive Signs
- Girdwood Summer Children's Programs
- Iditarod National Historical Trail Bridge
- Cooper Landing Snug Harbor Pathway Beautification Project
- Girdwood Mountain Bike Park Trails & Interpretive Signs
- Girdwood Trails Management Plan
- Hope Historic Guard Station Restoration
- Dena'ina Village Archaeology Project
- Museum Development Grants
- Begich Towers Building Rehabilitation





# Additional Resources

<https://www.kmtacorridor.org/grants/>

## Section 106

State Office of History and Archaeology's website

<http://dnr.alaska.gov/parks/oha/shpo/sec106.htm>

Section 106 applicant toolkit page

<https://www.achp.gov/digital-library-section-106-landing/section-106-applicant-toolkit>

A Citizen's Guide to Section 106 Review

[https://www.nps.gov/jacs/downloads/CitizensGuide\\_Section106.pdf](https://www.nps.gov/jacs/downloads/CitizensGuide_Section106.pdf)

<https://www.nps.gov/history/tribes/Documents/106.pdf>

The Advisory Council of Historic Places

<http://www.achp.gov>

## NEPA

[https://www.nps.gov/subjects/nepa/upload/NPS\\_NEPAHandbook\\_Final\\_508.pdf](https://www.nps.gov/subjects/nepa/upload/NPS_NEPAHandbook_Final_508.pdf)

[https://ceq.doe.gov/docs/ceq-publications/NEPA\\_NHPA\\_Section\\_106\\_Handbook\\_Mar2013.pdf](https://ceq.doe.gov/docs/ceq-publications/NEPA_NHPA_Section_106_Handbook_Mar2013.pdf)

[https://ceq.doe.gov/get-involved/citizens\\_guide\\_to\\_nepa.html](https://ceq.doe.gov/get-involved/citizens_guide_to_nepa.html)

## Buy American Act

<http://www.wingovernmentcontracts.com/buy-american-act.htm>

<https://www.govinfo.gov/content/pkg/CFR-2012-title43-vol1/xml/CFR-2012-title43-vol1-part12.xml>

## 2CFR200 Administrative Code

<https://www.govinfo.gov/content/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-part200-appIV.pdf>



# Questions?

**Contact:** [katherineschake@kmtacorridor.org](mailto:katherineschake@kmtacorridor.org)

**907-205-0235**

